

Practitioner's Docket No. RO0937US (#90568)

CHAPTER II

Preliminary Classification:

Proposed Class: IPC7  
Subclass: A61K

TRANSMITTAL LETTER  
TO THE UNITED STATES ELECTED OFFICE (EO/US)  
(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)

PCT/EP03/04816	08 May 2003 (8.05.2003)	04 June 2002 (4.06.2002)
International Application No.	International Filing Date	Priority Date Claimed

Film-Shaped Preparations with Improved Chemical Stability Containing Active Substances and  
Method for the Production Thereof

Title of Invention

von Falkenhausen, Christian; Muller, Walter and Krumme, Markus  
Applicant(s)

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
ATTENTION: EO/US

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- I.** Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. § 371:
- a. This express request to immediately begin national examination procedures (35 U.S.C. § 371(f)).
  - b. The U.S. National Fee (35 U.S.C. § 371(c)(1)) and other fees (37 C.F.R. § 1.492) as indicated below:

## 2. Fees

CLAIMS FEE*	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
BASIC FEE	TOTAL CLAIMS	29 - 20 =	9	x \$ 18.00 =	\$162.00
	INDEPENDENT CLAIMS	5 - 3 =	2	x \$ 88.00 =	176.00
	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$	\$0.00
	<b>U.S. PTO WAS NOT INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY</b>  Where no international preliminary examination fee as set forth in § 1.482 has been paid to the U.S. PTO, and payment of an international search fee as set forth in Section 1.445(a)(2) to the U.S. PTO:  where a search report on the international application has been prepared by the European Patent Office or the Japanese Patent Office (37 C.F.R. § 1.492(a)(5)) .....\$950.00				950.00
SMALL ENTITY	Total of above Calculations				= 1,288.00
	Reduction by 1/2 for filing by small entity, if applicable. Assertion must be made. (note 37 C.F.R. § 1.27)				- 0.00
	Subtotal				1,288.00
	Total National Fee				\$1,288.00
	Fee for recording the enclosed assignment document \$40.00 (37 C.F.R. § 1.21(h)). See Item 13 below). See attached "ASSIGNMENT COVER SHEET".				0.00
TOTAL	Total Fees enclosed				\$1,288.00

\*See attached Preliminary Amendment Increasing the Number of Claims.

Charge any additional fees required by this paper or credit any overpayment to Deposit Account No. 08-2441.

A duplicate copy of this sheet is enclosed.

- A copy of the International application as filed (35 U.S.C. § 371(c)(2)) is transmitted herewith.
- A translation of the International application into the English language (35 U.S.C. § 371(c)(2)) is transmitted herewith.

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A duplicate copy of this sheet is enclosed.

3. A copy of the International application as filed (35 U.S.C. § 371(c)(2)) is transmitted herewith.
4. A translation of the International application into the English language (35 U.S.C. § 371(c)(2)) is transmitted herewith.

5. Amendments to the claims of the International application under PCT Article 19 (35 U.S.C. § 371(c)(3)) have not been transmitted. Applicant chose not to make amendments under PCT Article 19.

Date of mailing of Search Report (from form PCT/ISA/210): 18 September 2003.

6. A translation of the amendments to the claims under PCT Article 19 (38 U.S.C. § 371(c)(3)) has not been transmitted for reasons indicated at point 5 above.
7. A copy of the international examination report (PCT/IPEA/409) is transmitted herewith
8. Annex(es) to the international preliminary examination report are not transmitted herewith as none exist.
9. A translation of the annexes to the international preliminary examination report is not transmitted herewith for the reason stated at point 8 above.
10. An oath or declaration of the inventor (35 U.S.C. § 371(c)(4)) complying with 35 U.S.C. § 115 will follow.

**II. Other document(s) or information included:**

11. An International Search Report (PCT/ISA/210) is transmitted herewith.
12. An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98 is transmitted herewith.

Also transmitted herewith is/are Form PTO-1449 (PTO/SB/08A and 08B) and copies of citations listed.

**13. Additional documents:**

- a. International Publication No. WO03101421
  - i. Front page only
- b. Preliminary amendment (37 C.F.R. § 1.121)
- c. PCT/IPEA/408 (German only)
- d. return postcard receipt

14. The above items are being transmitted before 30 months from any claimed priority date.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

Please charge, in the manner authorized above, the following additional fees that may be required by this paper and during the entire pendency of this application:

37 C.F.R. § 1.492(a)(1), (2), (3), and (4) (filing fees)

37 C.F.R. § 1.492(b), (c), and (d) (presentation of extra claims)

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Date: December 6, 2004



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